



PD-5650-01-DRK

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT : YI SUN

EXAMINER : J. HUNT

SERIAL NO : 09/509,779

ART UNIT : 1642

FILED : MARCH 29, 2000

PAPER NO : 9

FOR : SAG: SENSITIVE TO APOPTOSIS GENE

AMENDMENT

July 3, 2001

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please enter the attached paper copy of the "Sequence Listing" and the computer readable form (CRF) of the "Sequence Listing" into the Specification of the above-referenced application after page 46. Applicants emphasize that neither the CRF nor the paper copy of the "Sequence Listing" includes matter that goes beyond the disclosure of the International Application as filed, and that this submission is being made as a bona fide attempt to fulfill the requirements of the "Notification of Missing Requirements" mailed April 26, 2001.

Respectfully submitted,

David R. Kurlandsky  
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Warner-Lambert Company  
2800 Plymouth Road  
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Docket No.: 5650-01-DRK

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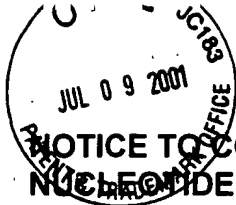
APPLICANTS: YI SUN  
SERIAL NO.: 09/509,779  
FILED: MARCH 29, 2000  
FOR: SAG: SENSITIVE TO APOPTOSIS GENE

SEQUENCE LISTING CERTIFICATION

I hereby certify that the paper copy of the Sequence Listing is identical to the computer readable copy of the Sequence Listing and that the Sequence Listing does not include matter which goes beyond the disclosure in the International Application as filed.

7/3/01  
Date

  
David R. Kurlandsky  
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Application N .: 09/509,779

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

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**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing" **TECH CENTER 1600/2900**
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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